

## European Space University for Earth and Humanity

*UNIVERSEH is an alliance of five European universities established to develop a new way of collaboration in the field of Space, within the “European Universities” initiative.*

*The alliance aims to create new higher education interactive experiences for the university community, teachers and students, and for the benefit of society as a whole. Such initiatives will enable broadminded, informed and conscientious European citizens to capture and create new knowledge and become smart actors of European innovation, valorisation and societal dissemination within the Space sector, from science, engineering, liberal arts to culture.*

*In Beyond UNIVERSEH, the alliance will develop the research and innovation dimension. By creating a research policy roadmap for 2035 and a vision for 2050 within the space sector, the alliance expects to notably transform the future Space and New Space research landscape, as well to enhance the links between education and research.*

1

Grant agreement number: 101035795

Funding Scheme: Horizon 2020 /SwafS/ Support for the Research and Innovation Dimension of European Universities

### D 1.10 Risk Register

Due date of deliverable: M 4  
Actual Submission date: 16/12/2021

Start date of the project: 01/09/2021

Duration: 36 months

Organisation responsible for this deliverable: UT

*Version: final*

This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



### Dissemination level

<b>PU</b>	Public	
<b>CO</b>	Confidential, only for members of the consortium	X

### Document History

Version	Date	Author	Partner	Summary of main changes
<b>1.0</b>	16/12/2021	Florence VOITIER-SIENZONIT	UT	N/A

2

### Deliverable description

The risk register is the risk management tool of the project. It aims to list and monitor the risks that may affect the good progress of the project. The risk register has been drafted by the coordinator and has been presented to the Steering Committee for approval. The document will then be reviewed and updated on a 6-monthly basis (risks added or removed, likelihood of occurrence changing, potential impact...).

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



Area	Risk Name	Risk Description	Inherent Likelihood	Inherent Impact	Inherent Risk Score	Tasks to mitigate the risk	Risk Owner	Changes	Change Date	Number of Changes	Notes
Covid-19	No physical meeting or event taking place	Physical mobility temporarily on hold / impossible during the whole project duration	4	3	12	Possibility to amend the work plan through discussion with the Project Officer and by organising virtual meeting and events	All partners				
Other	Lack of citizen engagement	Some activities of the project require citizen participation to occur	2	4	8	UDUS (WP5 leader) is experienced with citizens engagement in research projects, therefore, will be able to share best practice with partners.- Collaboration with other European and national science organisation promoting events-	WP5 leader (UDUS)				

3

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



						Effective communication targeting citizens will be tailored to their expectations					
Other	Lack of researchers engagement	To establish a sound and shared research roadmap, researchers' participation and adhesion are needed	2	4	8	Researchers will be associated (e.g workshops, mapping etc) in the development of the common research policy to ensure a high level of buy-in. - Large number of activities aiming at supporting researcher (e.g in their interactions with partners, trough the searching for funding)	WP2, WP3, WP4 leaders (LTU, UT, AGH)				
Other	Lack of stakeholders engagement	Stakeholders are involved in the governance of the project and in the delivery of	2	3	6	The ongoing Erasmus+ "UNIVERSEH" project has received more than 60 letters of support. Stakeholders are already identified and involved in the education dimension	Research Policy Council				

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



		specific activities of the project				of the project, therefore, it should be easier to mobilise some of them concerned by the research dimension of the project.				
Staffing	Change in Partners and contacts	Change in WP contacts and/or governance contacts for project partners	3	2	6	Contacts list updated in real time + available to download on a shared drive; Each partner has regular internal discussions on the project	Project Assistant/ All partners			
Legal & Regulatory	National legal barriers	National regulations preventing joint degree accreditation	3	3	9	Participation of stakeholders and policy makers in the advisory board: this will enable the alliance to have an influence on policy makers and stakeholders and demonstrate the need for a change in regulation (if relevant) to	Research Council Chair			

5

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



						be able to reach the objectives set out in the SwafS call.					
Staffing	Change of PM	PM temporarily absent or leaving the position	2	2	4	All documents and processes saved on a shared drive at UT ; all project documents (meeting minutes, comms material, deliverables, WP working documents...) are now saved on GWS. UT has a project management team able to provide interim support until the PM returns or is replaced	Project Manager				
Staffing	Change in the governance of the partners	Change in the governance of the project partner leading to a change of	2	3	6	Signature of a grant agreement describing the action and of a consortium agreement describing the internal	Governing Board Chair				

6

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



		strategy for this partner				processes in the governing bodies				
Technical	Complex internal communication	Large number of e-mails exchanged leading to loss of information	2	2	4	GWS in place in UNIVERSEH and extended to the Beyond UNIVERSEH project. This shared file allows working documents, contact lists and official documents to be available to all partners on a permanent basis.	Project Manager			
Legal & Regulatory	Defaulting partner	One partner not delivering its share of the work plan	1	5	5	Processes agreed in the Consortium Agreement to deal with a defaulting partner (exclusion, re-allocation of tasks...)	Governing Board Chair			
Financial	Negative Audit Report	Audit report with negative comments /	1	4	4	Put in place sound financial monitoring system to ensure the audit trail is in place ; dedicated session on this	Project Manager			

7

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of



		amendment to implement				during the KOM + with Third Parties (Toulouse); if Audit notification is received, PM to prepare the audit with Steering Committee + WP1 representatives				
Financial	WPs justification completion	delay failure or to provide justification	1	4	4	Deliverables condensed and achievable have been set up. All WP leaders will report regularly to the Steering committee	All partners			

This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101035795

UNIVERSEH – European Space University for Earth and Humanity is an alliance of

